THE SAME ASSESSED.

This Page Is Inscribed by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISI	HED U	JNDER THE PATENT COOPERATION TREATY (PCT)					
(51) International Patent Classification 7:		(11) International Publication Number: WO 00/37057					
A61K 9/48, 47/14	A2	(43) International Publication Date: 29 June 2000 (29.06.00)					
(21) International Application Number: PCT/US (22) International Filing Date: 15 December 1999 ((81) Designated States: CA, JP, MX, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).						
(30) Priority Data: 09/216,448 18 December 1998 (18.12.98	Published Without international search report and to be republished upon receipt of that report.						
(71) Applicant: ABBOTT LABORATORIES CHAD-0377/AP6D-2, 100 Abbott Park Ros bott Park, IL 60064-6050 (US).	[US/US ad, Al	**					
(72) Inventors: LIPARI, John, M.; 6600 Apollo Drive, Ra 53406 (US). RAYMOND, Dawn, M.; 274 Barbara Solana Beach, CA 92075 (US). REILAND, Tom; 3 Lake Short Drive, Gages Lake, IL 60030 (US).	Avenu	e,					
(74) Agents: SICKERT, Dugal, S. et al.; Abbott Labo CHAD 0377/AP6D-2, 100 Abbott Park Road, Abb IL 60064-6050 (US).	oratorie oott Parl	s, c,					
		·					
(54) Title: NOVEL FORMULATIONS COMPRISING LIPID-REGULATING AGENTS							
(57) Abstract							
The present invention is directed to a formulation con acid ester as the primary solvent medium for said agent. On	nprising ne or m	a lipid-regulating agent dissolved in at least one propylene glycol fatty ore emulsifiers may be added to the formulation.					

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Smain	LS	Languina	CT	01 1-
		FI	Spain Finland	_	Lesotho	SI	Slovenia
AM	Armenia			LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of Americ
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 00/37057

1

Novel Formulations Comprising Lipid-Regulating Agents

5

Field of the Invention

The present invention relates to novel formulations for oral administration comprising lipid-regulating agents.

10

15

20

25

30

35

Background of the Invention

2-[4-(4-chlorobenzoyl)phenoxy]-2-methyl-propanoic acid, 1-methylethylester, also known as fenofibrate, is representative of a broad class of compounds having pharmaceutical utility as lipid regulating agents. More specifically, this compound is part of a lipid-regulating agent class of compounds commonly known as fibrates, and is disclosed in U.S. Patent No. 4,058,552.

Fenofibrate has been prepared in several different formulations, c.f., U.S. Patent No. 4,800,079 and U.S. Patent No. 4,895,726. U.S. Patent No. 4,895,726 discloses a comicronized formulation of fenofibrate and a solid surfactant.

U.S. Patent No. 4,961,890 discloses a process for preparing a controlled release formulation containing fenofibrate in an intermediate layer in the form of crystalline microparticles included within pores of an inert matrix. The formulation is prepared by a process involving the sequential steps of dampening said inert core with a solution based on said binder, then projecting said fenofibrate microparticles in a single layer onto said dampened core, and thereafter drying, before said solution based on said binder dissolves said fenofibrate microparticles, and repeating said three steps in sequence until said intermediate layer is formed.

European Patent Application No. EP0793958A2 discloses a process for producing a fenofibrate solid dosage form utilizing fenofibrate, a surface active agent and polyvinyl pyrrolidone in which the fenofibrate particles are mixed with a polyvinyl pyrrolidone solution. The thus obtained mixture is granulated with an aqueous solution of one or more surface active agents, and the granulate thus produced is dried.

2

PCT Publication No. WO 82/01649 discloses a fenofibrate formulation having granules that are comprised of a neutral core that is a mixture of saccharose and starch. The neutral core is covered with a first layer of fenofibrate, admixed with an excipient and with a second microporous outer layer of an edible polymer.

5

U.S. Patent No. 5,645,856 describes the use of a carrier for hydrophobic drugs, including fenofibrate, and pharmaceutical compositions based thereon. The carrier comprises a digestible oil and a pharmaceutically-acceptable surfactant component for dispersing the oil in vivo upon administration of the carrier, which comprises a hydrophilic surfactant, said surfactant component being such as not to substantially inhibit the in vivo lipolysis of the digestible oil.

10

15

Gemfibrozil is another member of the fibrate class of lipid-regulating agents. U.S. Patent No. 4,927,639 discloses a disintegratable formulation of gemfibrozil providing both immediate and sustained release, comprising a tablet compressed from a mixture of a first and second granulation, and a disintegration excipient operable to effect partial or complete disintegration in the stomach. The first granulation comprises finely divided particles of pure gemfibrozil granulated with at least one cellulose derivative, and the second granulation comprises finely divided particles of pure gemfibrozil granulated with a pharmaceuitcally-acceptable water soluble or insoluble polymer which are then uniformly coated with a pharmaceuitcally-acceptable (meth)acylate copolymer prior to admixture with the first granulation. The first and second granulations are present in the final composition in a ratio of from about 10:1 to about 1:10.

25

20

U.S. Patent 4,925,676 discloses a disintegratable gemfibrozil tablet providing both immediate and enteric release, which is compressed from a mixture of a first granulation of gemfibrozil with at least one acid-disintegratable binder, and a second granulation formed from the first granulation, but regranulated or coated with an alkali-disintegratable formulation of at least one substantially alkali-soluble and substantially acid-insoluble polymer.

30

35

Another class of lipid-regulating agents are commonly known as statins, of which pravastatin and atorvastatin are members. U.S. Patents 5,030,447 and 5,180,589 describe stable pharmaceutical compositions, which when dispersed in water have a pH of at least 9, and include a medicament which is sensitive to a low pH environment, such as prevastatin, one or more fillers such as lactose and/or microcrystalline cellulose, one or more binders, such as microcrystalline cellulose (dry binder) or polyvinylpyrrolidone (wet binder), one or

more disintegrating agents such as croscarmellose sodium, one or more lubricants such as magnesium stearate and one or more basifying agents such as magnesium oxide.

It is an object of the present invention to provide formulations for oral administration comprising lipid-regulating agents having enhanced bioavailability when compared to commercially available formulations.

Summary of the Invention

10

5

The present invention is directed to formulations for oral administration comprising a lipid-regulating agent, further comprising at least one propylene glycol fatty acid ester as the primary solvent medium for the lipid-regulating agent. One or more emulsifiers may optionally be added to the formulation.

15

The formulation may be administered directly, diluted into an appropriate vehicle for administration, encapsulated into soft or hard gelatin shells or capsules for administration, or administered by other means obvious to those skilled in the art.

20

Brief Description of the Drawings

Figure 1 is a graph showing the plasma concentration in fasted dogs of the formulation of Example 1 and a reference composition.

25

30

Figure 2 is a graph showing the plasma concentration in fasted dogs of the formulation of Example 2 and a reference composition.

Figure 3 is a graph showing the plasma concentration in fasted and non-fasted dogs of the formulation of Example 1 and a reference composition.

Detailed Description of the Invention

35

The bulk lipid-regulating agent can be prepared by any available method, as for example the compound fenofibrate may be prepared by the procedure disclosed in U.S.

5

10

15

20

25

30

35

Patent No. 4,058,552 or the procedure disclosed in U.S. Patent No. 4,739,101, both herein incorporated by reference.

Representative propylene glycol fatty acid esters include, but are not limited to, propylene glycol dicaprylate/dicaprate, propylene glycol dicaprate, propylene glycol laurate, and propylene glycol mono- and dicaprylate.

Preferred propylene glycol fatty acid esters include Miglyol 840TM, a propylene glycol dicaprylate/dicaprate available from Creanova; Captex 100TM, a propylene glycol dicaprate available from Abitec; LauroglycolTM, a propylene glycol laurate available from Gattefosse; and Capmul PG8TM, a propylene glycol mono- and dicaprylate available from Abitec.

Suitable emulsifiers include pharmaceutically-acceptable emulsifiers such as, for example, TPGS (d-alpha tocopheryl polyethylene glycol 1000 succinate), phospholipids, polyoxyethylene sorbitan fatty acid derivatives, castor oil or hydrogenated castor oil ethoxylates, polyglycerol esters of fatty acids, fatty acid ethoxylates, alcohol ethoxylates, and polyoxyethylene-polyoxypropylene co-polymers and block co-polymers. Preferred emulsifiers include castor oil or hydrogenated castor oil ethoxylates. A more preferred emulsifier is Cremophor ELTM, a polyoxyl 35 castor oil, available from BASF.

Other optional ingredients which may be included in the compositions of the present invention are those which are conventionally used in oil-based drug delivery systems, e.g. antioxidants such as, for example, tocopherol, ascorbyl palmitate, ascorbic acid, butylated hydroxytoluene, butylated hydroxyanisole, propyl gallate, etc.; pH stabilizers such as, for example, citric acid, tartaric acid, fumaric acid, acetic acid, glycine, arginine, lysine, potassium hydrogen phosphate, etc.; thickeners/suspending agents such as, for example, hydrogenated vegetable oils, beeswax, colloidal silicon dioxide, gums, celluloses, silicates, bentonite, etc.; flavoring agents such as, for example, cherry, lemon, aniseed flavors, etc.; sweeteners such as, for example, aspartame, saccharin, cyclamates, etc.; and co-solvents, such as, for example, ethanol, propylene glycol, dimethyl isosorbide, etc.

The solution comprising the lipid-regulating agent is prepared by dissolving said agent in the propylene glycol fatty acid ester with adequate mixing at or about room temperature. If an emulsifier is used, it is added to the propylene glycol fatty acid ester with mixing prior to addition of said agent.

WO 00/37057

5

The resulting premix liquid comprising said agent may be dosed directly for oral administration, diluted into an appropriate vehicle for oral administration, filled into soft or hard gelatin capsules for oral administration, or delivered by some other means obvious to those skilled in the art. The said premix liquid can be used to improve the oral bioavailability, and/or increase the solubility of said agent.

The invention will be understood more clearly from the following non-limiting representative examples.

10

15

20

5

Example 1

Capmul PG8 (Abitec) (8.3 gm) was added to a scintillation vial. Cremophor EL (BASF) (1.0 gm) was added to the vial and mixed until uniform. Fenofibrate (Sigma) (0.7 gm) was then added to the vial and mixed until it was completely dissolved. 957 mg. of the premix (containing 67 mg. fenofibrate) was added to each of six soft gelatin capsules using a syringe. The capsules were heat-sealed and stored.

Example 2

Captex 200 (propylene glycol dicaprylate/dicaprate) (Abitec)(9.3 gm) was added to a scintillation vial. Fenofibrate (Sigma) (0.7 gm) was added to the Captex 200 and mixed until completely dissolved. 957 mg. of the premix (containing 67 mg. fenofibrate) was added to each of six soft gelatin capsules using a syringe. The capsules were heat-sealed and stored.

Example 3

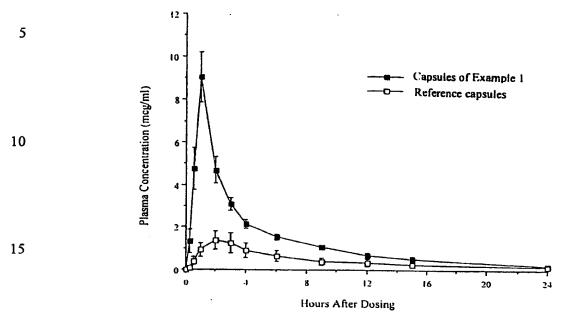
25

30

Capsules prepared by the process described in Example 1 and 2, and from a commercial fenofibrate composition, Lipanthyl 67M (Groupe Fournier) (reference), were administered to a group of dogs at a dose of 67 mg/dog (one capsule per dog). The plasma concentrations of fenofibric acid were determined by HPLC. Concentrations were normalized to a 6.7 mg/kg dose in each dog. Figures 1-3 present the resulting data in graph form.

Figure 1

Mean (±SEM, n=6) Plasma Concentrations of Fenofibric Acid after a 67 mg Capsule Dose of Fenofibrate in Fasted Dogs



Note: 67 mg dose administered to n=6 dogs; concentrations normalized to a 6.7 mg/kg dose.

The results, provided as mean \pm SD, n = 6 were as follows:

Lipanthyl 67M (Reference):

25
$$Cmax = 1.88 \pm 0.97 mcg/ml$$

$$Tmax = 1.6 \pm 0.9 \text{ hr}$$

$$t_{1/2} = 4.5 \text{ hr}$$

AUC (0-24) =
$$11.08 \pm 9.42 \text{ mcg-hr/ml}$$

$$F(\%) = 21.1 \pm 11.8$$

30

Capsules of Example 1:

$$Cmax = 9.21 \pm 2.61 \text{ mcg/ml}$$

$$Tmax = 0.9 \pm 0.2 hr$$

$$t_{1/2} = 4.5 \text{ hr}$$

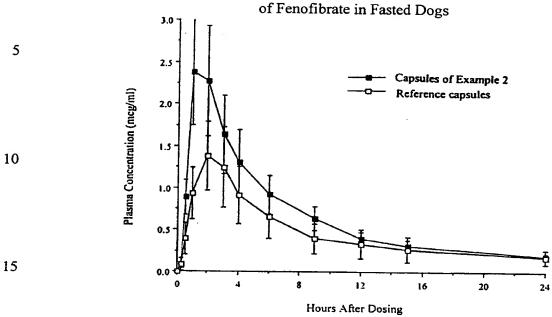
35 AUC (0-24) =
$$33.22 \pm 5.81 \text{ mcg-hr/ml}$$

$$F(\%) = 70.4 \pm 13.2$$

Figure 2

Mean (±SEM, n=6) Plasma Concentrations of Fenofibric Acid after a 67 mg Capsule Dose

of Fenofibrate in Forted Dose



Note: 67 mg dose administered to n=6 dogs; concentrations normalized to a 6.7 mg/kg dose.

The results, provided as mean \pm SD, n = 6 were as follows:

Lipanthyl 67M (Reference):

 $Cmax = 1.88 \pm 0.97 \text{ mcg/ml}$

25 $Tmax = 1.6 \pm 0.9 hr$

20

 $t_{1/2} = 4.5 \text{ hr}$

AUC $(0-24) = 11.08 \pm 9.42 \text{ mcg-hr/ml}$

 $F(\%) = 21.1 \pm 11.8$

30 Capsules of Example 2:

 $Cmax = 2.67 \pm 1.67 \text{ mcg/ml}$

 $Tmax = 1.3 \pm 0.5 hr$

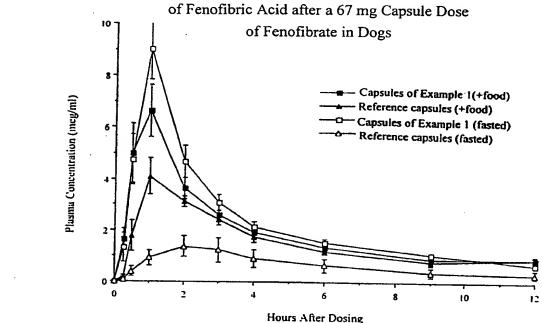
 $t_{1/2} = 8.1 \text{ hr}$

AUC $(0-24) = 16.14 \pm 8.99 \text{ mcg} \cdot \text{hr/ml}$

35 $F(\%) = 32.8 \pm 15.0$

Figure 3

Effect of Food on the Mean (±SEM, n=6) Plasma Concentrations



Note: 67 mg dose administered to n=6 dogs; concentrations normalized to a 6.7 mg/kg dose.

20

15

5

10

The results, provided as mean \pm SD, n = 6 were as follows:

Lipanthyl 67M (Reference) (Fasted):

 $Cmax = 1.88 \pm 0.97 \text{ mcg/ml}$

25 $Tmax = 1.6 \pm 0.9 hr$

AUC $(0-24) = 11.08 \pm 9.42 \text{ mcg-hr/ml}$

 $F(\%) = 21.1 \pm 11.8$

Lipanthyl 67M (Reference) (Non-fasted):

30 $Cmax = 4.47 \pm 1.37 mcg/ml$

 $Tmax = 1.3 \pm 0.5 hr$

AUC $(0-24) = 25.44 \pm 4.28 \text{ mcg} \cdot \text{hr/ml}$

 $F(\%) = 54.8 \pm$

35 Capsules of Example 1 (Fasted):

 $Cmax = 9.21 \pm 2.61 \text{ mcg/ml}$

 $Tmax = 0.9 \pm 0.2 hr$

PCT/US99/29696

AUC (0-24) =
$$33.22 \pm 5.81$$
 mcg•hr/ml F(%) = 70.4 ± 13.2

Capsules of Example 1 (Non-fasted):

AUC
$$(0-24) = 31.88 \pm 8.25 \text{ mcg-hr/ml}$$

$$F(\%) = 68.3 \pm$$

10

Example 4

Capmul PG8 (Abitec) (8.0 gm) is added to a scintillation vial. Cremophor EL (BASF) (1.0 gm) is added to the vial and mixed until uniform. Pravastatin (PravacholTM, Bristol Myers Squibb) (1.0 gm) is then added to the vial and mixed until uniformly dispersed. The premix may be added to soft gelatin capsules in an amount sufficient to deliver the desired dose.

5

Example 5

Capmul PG8 (Abitec) (8.0 gm) is added to a scintillation vial. Cremophor EL

(BASF) (1.0 gm) is added to the vial and mixed until uniform. Atorvastatin (LipitorTM,

Parke-Davis/Pfizer) (1.0 gm) is then added to the vial and mixed until uniformly dispersed.

The premix may be added to soft gelatin capsules in an amount sufficient to deliver the desired dose.

11

Claims

1. A composition comprising a lipid-regulating agent dissolved in at least one propylene glycol fatty acid ester.

5

- 2. A composition of claim 1 wherein the lipid-regulating agent is a fibrate.
- 3. A composition of claim 2 wherein the fibrate is fenofibrate.
- 10 4. A composition of claim 1 wherein the lipid-regulating agent is a statin.
 - 5. A composition of claim 4 wherein the statin is pravastatin or atorvastatinl
- 6. A composition of claim 1 wherein at least one or more of the propylene glycol fatty acid esters is selected from propylene glycol dicaprylate/dicaprate, propylene glycol dicaprate, propylene glycol laurate, and propylene glycol mono- and dicaprylate.
 - 7. A composition of claim 6 wherein at least one or more of the propylene glycol fatty acid esters is selected from a propylene glycol dicaprate and a propylene glycol mono- and dicaprylate.
 - 8. A composition of claim 7 wherein at least one propylene glycol fatty acid ester is a propylene glycol mono- and dicaprylate.
- 25 9. A composition of claim 1 further comprising at least one emulsifier.
 - 10. A composition of claim 9 wherein at least one emulsifier is selected from castor oil or hydrogenated castor oil ethoxylates.
- 11. A composition of claim 10 wherein the castor oil or hydrogenated castor oil ethoxylates is a polyoxyl 35 castor oil.
 - 12. A composition of claim 1 that contains a therapeutically-effective amount of a lipid-regulating agent.

35

20

13. A composition of claim 12 wherein the lipid-regulating agent is a fibrate.

12

- 14. A composition of claim 13 wherein the fibrate is fenofibrate.
- 15. A composition of claim 12 wherein the lipid-regulating agent is a statin.
- 5 16. A composition of claim 15 wherein the statin is pravastatin or atorvastatin.
 - 17. A capsule comprising a composition of claim 1.
 - 18. A capsule of claim 17 wherein the lipid-regulating agent is a fibrate.

19. A capsule of claim 18 wherein the fibrate is fenofibrate.

10

30

35

- 20. A capsule of claim 17 wherein the lipid-regulating agent is a statin.
- 15 21. A capsule of claim 20 wherein the statin is pravastatin or atorvastatin.
 - 22. A method of treating hyperlipidemia comprising the administration of a composition of claim 1 to a patient.
- 20 23. A method of treating hyperlipidemia comprising the administration of a composition of claim 12 to a patient.
 - 24. A method of claim 23 wherein the lipid-regulating agent is a fibrate.
- 25 25. A method of claim 24 wherein the fibrate is fenofibrate.
 - 26. A method of claim 23 wherein the lipid-regulating agent is as statin.
 - 27. A method of claim 26 wherein the statin is pravastatin or atorvastatin
 - 28. A composition of claim 1 further comprising at least one co-solvent.
 - 29. A composition of claim 28 wherein at least one co-solvent is selected from ethanol, propylene glycol, and dimethyl isosorbide.
 - 30. A method of claim 22 wherein the patient may be a fasted or non-fasted patient.

13

- 31. A method of claim 30 wherein the patient is a fasted patient.
- 32. A method of claim 30 wherein the patient is a non-fasted patient.